



RENEWAL APPLICATION FOR AN OSAGE NATION GAMING LICENSE

Name of Applicant	Date of Expiration	Date of Hire
Current Position	License #	Facility Employed

NOTICE:

Pursuant to the Osage Nation, Federal law and the State Compact, all persons seeking to maintain employment in any gaming enterprise of the Osage Nation must apply to the Osage Nation Gaming Commission (ONGC) for a renewal of their gaming license. The Commission serves as the gaming regulatory and licensing agency of the Osage Nation and is empowered to deny, suspend or revoke the gaming license of any person who fails to meet or maintain compliance with the licensing standards contained in the Osage Nation Gaming Ordinance. **No person is eligible for employment in the Osage Nation gaming facilities without a valid gaming license issued by the ONGC.**

Prior to renewing the gaming license of an applicant, the ONGC will conduct a full background investigation, including but not limited to, a criminal history records check through the FBI's National Crimes Information Center, **in order to determine if the applicant is of suitable character and reputation for license renewal.** The ONGC will also screen the applicant for use of controlled substances.

If the ONGC determines that an applicant is suitable to have their license renewed, it will issue a renewed license to the applicant, which will be valid for a period of two years. *Please note* that possession of an Osage Nation gaming license is a privilege, not a *right* and it is the responsibility of the applicant to maintain his or her license in good standing and to seek renewal 60 days prior to the date of expiration. Therefore it is suggested that you make a copy of this application to assist you in the renewal process. **Section 3.07 of the Osage Nation Gaming Law imposes a continuing duty on each licensee to inform the ONGC of any changes in the information contained in this application, including reporting any arrests or criminal charges that may be filed after the issuance of a gaming license. Employees who fail to timely renew their license or who fail to maintain the suitability standards contained in the Osage Nation Gaming Law during the period of licensure, risk suspension or revocation of their gaming license.**

INSTRUCTIONS:

Please read each question carefully and respond to each question fully and truthfully. Print clearly a response to each question in **Black** ink or type. If a question does not apply to you, so indicate by inserting **N/A** in the space provided. If the space provided is insufficient, continue on a separate sheet of paper in the same format as the application. **An applicant's failure to fully complete the application or to provide all items needed to complete the renewal application package will result in the rejection of the application for consideration by the ONGC. Should your application be rejected, your license will not be renewed. An applicant's failure to disclose all required information or the submission of false or misleading information may result in the denial of a gaming license, rendering the applicant ineligible for employment in an Osage Nation gaming facility.**

I have read and understand this page: (initials) _____

RENEWAL APPLICATION PACKAGE CHECKLIST

YOU MUST SUBMIT THE FOLLOWING TO THE GAMING COMMISSION

- Completed Renewal Application

Note: You must type or print clearly a response to *each* question in **black** ink or type. If a question does not apply to you, so indicate by inserting **N/A** in the space provided. If the space provided is insufficient, continue on separate sheet of paper in the same format as the application. Please be sure to initial each page and sign wherever indicated. **If your application is incomplete your renewal application will not be processed.** If you have any questions regarding this application please contact the ONGC at (918) 287-5397 or (918) 947-5082.

All of the following documents that pertain to you must be attached to your renewal application. Your application will not be processed without the following documents that pertain to you.

- Drug screening results;
- Copy of your valid driver's license or state identification card;
- Any licenses and/or degrees you have obtained since your **date of hire/previous renewal**, if applicable;
- INS documents, if applicable;
- Proof of self-employment if you have been self-employed at any time since your **date of hire/previous renewal** (tax documents, business contracts, etc.);
- DD214 that you have received since your **date of hire/previous renewal**, if applicable;
- Any disposition(s) and/or court document(s) regarding any criminal matter(s) that you may have been involved with since your **date of hire/previous renewal**;
- Authorization to Release Information
- Privacy Notice
- Licensing Standard Statement

I have read and understand this page: (initials) _____

SECTION I - PERSONAL HISTORY

Last Name		First Name		Middle Name		Suffix, Other	
Alias(s), (nicknames, maiden name, married names, etc.)		Reason for name change (marriage, divorce, nickname)			Dates Used (years to & from)		
Physical Address/E911 Address (No PO Boxes or RFD allowed)		City		County		State	Zip
Mailing Address		City		County		State	Zip
Telephone Number		Cell Phone Number		Message Phone		Other	
Date of Birth		Age		Sex		Social Security Number	
				<input type="checkbox"/> Male <input type="checkbox"/> Female			
Place of Birth: City		County		State		Country	
Driver's License/ID Card No.		State/Tribe Issued			Date of Expiration		
Are you a U.S. Citizen?		If No, Specify					
<input type="checkbox"/> Yes <input type="checkbox"/> No							
Are you a Tribal Member?		If Yes, List:					
<input type="checkbox"/> Yes <input type="checkbox"/> No							
Enrollment No:		Location of Tribal Headquarters					
Have you ever served in the military?		If yes, Please list branch					
<input type="checkbox"/> Yes <input type="checkbox"/> No							

SECTION II - FBI INFORMATION

The following is requested information by the FBI when submitting fingerprints:

Eye Color	Hair Color	Weight	Height	Race (optional)

Distinguishing Marks (scars, tattoos, etc.) Give descriptions and please use an additional page if needed to list all.

I have read and understand this page: (initials) _____

SECTION III - RESIDENTIAL HISTORY

Please provide the address for all residences you have occupied, regardless of whether or not your name was on the lease, since your ***date of hire/previous renewal***. Please start with your current address even if there has been no other address. **Failure to complete this section will result in your application not being processed.**

From: (month/year)	Physical Address	City
To: (month/year)	County, State, Zip Code	Rent/Own
		<input type="checkbox"/> Own <input type="checkbox"/> Rent <input type="checkbox"/> Other

From: (month/year)	Physical Address	City
To: (month/year)	County, State, Zip Code	Rent/Own
		<input type="checkbox"/> Own <input type="checkbox"/> Rent <input type="checkbox"/> Other

From: (month/year)	Physical Address	City
To: (month/year)	County, State, Zip Code	Rent/Own
		<input type="checkbox"/> Own <input type="checkbox"/> Rent <input type="checkbox"/> Other

From: (month/year)	Physical Address	City
To: (month/year)	County, State, Zip Code	Rent/Own
		<input type="checkbox"/> Own <input type="checkbox"/> Rent <input type="checkbox"/> Other

Personal Reference to verify addresses	Relationship	Day time telephone number	Alternate telephone number

I have read and understand this page: (initials) _____

SECTION IV - WORK HISTORY

A. Please provide any employers, including part time employment, since your *date of hire/previous renewal*. You will need to list the month and year you started and quit each employer. **Failure to complete this section will result in your application not being processed.**

Please provide information regarding your employment with Osage Casinos:

Position held		Facility	Date started this position
Name of Supervisor or Manager		Telephone number for Supervisor or Manager	Email address for supervisor or manager

This section does not apply because I have held no other employment.

From:	To:	Employer	Gaming Related <input type="checkbox"/> Yes <input type="checkbox"/> No
Full Address		City, State	Telephone Number
Title		Description of Duties	
Supervisor		Reason for Leaving	

From:	To:	Employer	Gaming Related <input type="checkbox"/> Yes <input type="checkbox"/> No
Full Address		City, State	Telephone Number
Title		Description of Duties	
Supervisor		Reason for Leaving	

I have read and understand this page: (initials) _____

SECTION V – BUSINESS AND FINANCIAL INTERESTS

A. List any business you have owned or held interest in since your *date of hire/previous renewal*. Include the address of the business, your position and the percentage of your ownership interest. You will need to supply proof of ownership for each business by providing documentation (i.e., tax documents, corporation papers, business license, etc.) that reflects you as having ownership in that business.

This section does not apply because I have had no business interests.

Date From:	Date To:	Name of Business		
Address		City, State		Gaming Related
				<input type="checkbox"/> Yes <input type="checkbox"/> No
Capacity/Title		Primary Purpose		
Amount of Investment		% Ownership/Shares	Status of Business	

B. Has any company listed above and owned by you or for which you served as an officer, director, or manager ever declared bankruptcy during the term of you association with such company?
 Yes No If yes, identify the business and fully explain on a separate sheet of paper.

C. Have you personally declared bankruptcy within the last 10 years? Yes No
 If yes, on a separate sheet of paper please explain the circumstances surrounding the reasons behind the bankruptcy.

I have read and understand this page: (initials) _____

SECTION VI - OTHER LICENSES

Have you applied for or obtained any professional, occupational or certifications for any profession or other license permit since your **date of hire/previous renewal**? (Such licenses may include, C.N.A., LPN, RN, FAA, CLEET, Security License, Conceal and Carry, Food Handlers, Notary Public, etc.)

Yes No If yes, please provide the following information along with a copy of the license:

Type of License	Name of Issuing Jurisdiction		Address
License Number	Date of Issuance	Status of License	Denied, Suspended or Revoked?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

Type of License	Name of Issuing Jurisdiction		Address
License Number	Date of Issuance	Status of License	Denied, Suspended or Revoked?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

Type of License	Name of Issuing Jurisdiction		Address
License Number	Date of Issuance	Status of License	Denied, Suspended or Revoked?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have ever been denied or subject to the suspension or revocation of a professional, occupational or other license or permit by any jurisdiction, provide a full explanation below:

I have read and understand this page: (initials) _____

SECTION VII – EDUCATION

Complete the following information for every college, university or vocational school you have attended since your ***date of hire/previous renewal*** and supply copies of all degrees awarded and/or certificates of attendance.

This section does not apply.

Name of School		Address, City, State	Contact Information
Dates Attended	Graduation Date	Degree Awarded	

Name of School		Address, City, State	Contact Information
Dates Attended	Graduation Date	Degree Awarded	

Name of School		Address, City, State	Contact Information
Dates Attended	Graduation Date	Degree Awarded	

Please list all languages spoken and/or written by you:

I have read and understand this page: (initials) _____

SECTION VIII – CRIMINAL HISTORY SINCE DATE OF HIRE OR PREVIOUS RENEWAL

Prior to answering the questions contained in this section, carefully review the following definitions and instructions. You may be asked to supply a disposition or court document to any matter you disclose in your application. If you have any such document(s) in your possession, it is advised that you attach those documents to this application. **THIS SECTION PERTAINS TO ANY CRIMINAL MATTERS THAT HAVE RISEN SINCE YOUR DATE OF HIRE OR PREVIOUS RENEWAL.**

Definitions:

- **Date of Charge(s):** Refers to the date you were arrested, detained, held, taken into custody or the date that formal charges were brought against you for any unlawful conduct that you were alleged to have committed.
- **Offense Charged:** Refers to any information, complaint or indictment filed in any tribal, state or federal Court alleging that you have committed any "offense". It can also refer to any complaint that may not have resulted in any formal indictment but did result in an arrest. Includes all felony and misdemeanor crimes regardless of the seriousness of the alleged conduct, including serious violations of any motor vehicle code or ordinance such as driving while intoxicated or driving under the influence of a controlled substance. However, this does not include minor traffic violations.
- **Disposition:** Refers to the outcome of the matter such as, any convictions, dismissals, deferred sentences, suspended sentences, or a matter that was expunged or dismissed.
- **Sentence:** Refers to any time you were ordered to serve in any penal institution, County jail, DUI School, probation or a diversionary program, deferred or suspended sentence.
- **Incarcerated:** Refers to any jail (city or county) or state correctional facility, in which you were held, detained or taken into custody.
- **Probation:** Will need to be marked "yes" if you are currently paying on any fines, restitution or are on a deferred/suspended sentence. If a case is closed but payments are still pending in any matter you will need to state that. If a matter is still pending but a sentence has not been ordered at this time you will need to disclose that information.

Instructions:

Answer "YES" and provide a full explanation of the facts and circumstances for each incident even if:

- You did not commit the offense charged
- The charges were dismissed, deferred or downgraded to a lesser charge.
- You completed pretrial intervention or equivalent diversionary program.
- You were not convicted.
- You did not serve a prison or jail sentence.

Answer "NO" only if you have never been arrested or charged with any offense as defined above.

IMPORTANT NOTICE

Your fingerprints will be submitted to the FBI's National Crimes Information Center, a comprehensive law enforcement database containing federal and state criminal arrest and conviction records. A report will be returned to the Commission containing any arrest and conviction information in the database associated with your fingerprints. The content of this report will be compared with the information contained in this application. If you have failed to disclose any arrests or convictions in this application, such omission(s) will be taken into account in assessing your character, honesty, integrity and suitability for licensure and will result in the denial or rejection of the gaming application. Often Court matters expunged at the District Court level will be included in the FBI report. Not all matters that are expunged from your record will be deleted from the FBI database, which could give the impression that you failed to disclose the matter in your application. In the event that you do not disclose any charge that was expunged from your record and it does appear on the FBI report, you may be asked to supply an Order of the Court stating that the matter was expunged.

I have read and understand this page: (initials) _____

SECTION IX – CRIMINAL HISTORY SINCE YOUR DATE OF HIRE OR PREVIOUS RENEWAL

The following questions regarding criminal records must be answered. Because this is a **gaming license**, you must relinquish **all** information, regardless of the jurisdiction (i.e. federal, state, county, city, or tribal court), or the advice from a probation officer, attorney or court. Failure to provide such information may result in the rejection of your application for a gaming license. **THIS SECTION PERTAINS TO ANY CRIMINAL MATTERS THAT HAVE RISEN SINCE YOUR DATE OF HIRE OR PREVIOUS RENEWAL.**

- 1. Have you been charged or convicted of, or do you have an ongoing prosecution for a felony?
 Yes No

- 2. Have you been charged or convicted of, or do you have an ongoing prosecution for a misdemeanor (excluding traffic violations)? Yes No

- 3. Have you had any criminal charges (excluding minor traffic charges) filed against you whether or not there was a conviction; including a DUI, DWI or DUS? Yes No

- 4. Have you been arrested? Yes No

- 5. Have you been fingerprinted by any authority for any reason other than a license? Yes No

- 6. Have you had a deferred sentence for a criminal charge filed against you? Yes No

- 7. Have you had a suspended sentence for a criminal charge filed against you? Yes No

- 8. Have you had any charges filed against you be dismissed? Yes No

- 9. Have you been arrested for an offense that you were not charged with? Yes No

- 10. Have you had any charges filed against you be expunged from your record? Yes No

- 11. Have you been arrested, detained, charged, indicted, or summoned to answer for any charges related to fraud, embezzlement, theft, shoplifting, or burglary for any reason whatsoever, regardless of the disposition of the event or even if charges were not filed? Yes No

- 12. Have you ever been arrested, detained, charged, indicted, or summoned to answer for any gambling related offense, fraud, or misrepresentation in any connection for any reason whatsoever, regardless of the disposition of the event? Yes No

If you answered YES to any of the above questions, you must complete the information requested in the following three sheets, you may also be required to provide a certified copy of the court disposition showing the outcome of the case (i.e. dismissal, conviction, closure, or Judgment and Sentence) and a written statement in your own words as to what happened. Failure to do so may result in a rejection of your application for being incomplete.

I have carefully examined the information provided on or with this page and under penalty of law hereby certify that this is true and complete.

I have read and understand this page: (initials) _____

SECTION X – FELONY RECORD(S) SINCE DATE OF HIRE OR PREVIOUS RENEWAL

Are you now or have you been arrested, charged, prosecuted for or convicted of any felony offense since your *date of hire/previous renewal*, no matter the outcome of the case? Yes No

If YES, complete the following:

Date of Charge	Offense Charged	Court – County/City	
Sentence		If Incarcerated, Where?	
Disposition		Presently on Probation?	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Date of Charge	Offense Charged	Court – County/City	
Sentence		If Incarcerated, Where?	
Disposition		Presently on Probation?	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Date of Charge	Offense Charged	Court – County/City	
Sentence		If Incarcerated, Where?	
Disposition		Presently on Probation?	
		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Explanation:

I have read and understand this page: (initials) _____

SECTION XI – MISDEMEANOR RECORD(S) SINCE DATE OF HIRE OR PREVIOUS RENEWAL

Are you now or have you ever been prosecuted for or convicted of any misdemeanor offense since your *date of hire/previous renewal*, no matter the outcome of the case? Yes No

If yes, provide the following information:

Date of Charge	Offense Charged	Court – County/City
Sentence		If Incarcerated, Where?
Disposition		Presently on Probation?
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Date of Charge	Offense Charged	Court – County/City
Sentence		If Incarcerated, Where?
Disposition		Presently on Probation?
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Date of Charge	Offense Charged	Court – County/City
Sentence		If Incarcerated, Where?
Disposition		Presently on Probation?
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Explanation:

I have read and understand this page: (initials) _____

**Gaming Commission
LICENSING STANDARDS STATEMENT**

Section 3.13: Denial of Provisional License Application for Cause

The tribe shall deny a license to any applicant whenever the applicant or any person with a 5% or more ownership interest therein:

- A. Has withheld pertinent information or has made false statements on the gaming license application;
- B. Has attempted to bribe a Council Member, Tribal Gaming Commission member or any other person in an attempt to avoid or circumvent tribal law or any other applicable law;
- C. Has offered something of value, or a loan, financing or other thing of value to a Tribal Gaming Commission member, a subordinate employee or any person participation in any gaming activity;
- D. Has been knowingly promoted, played or participated in any gaming activity operated in violation of tribal law;
- E. Has been knowingly involved in the falsification of books or records which relate to transaction connected with the operation of gaming activity;
- F. Has been convicted of, or has entered a plea of nolo contendere to any crime involving gaming or embezzlement;
- G. Has been determined by the Tribal Gaming Commission or National Indian Gaming Commission to have present or prior activities, criminal record, if any, or reputation, habits and associations which pose a threat to the public interest or to the effective regulation of gaming, or create or enhance the dangers of unsuitable, unfair, or illegal practices in the conduct of gaming, provided, that any conviction more than five years before the commencement of employment of the Tribe shall not be considered under the Subsection.
- H. Has denied the Tribe access to any place at which gaming is required to be licensed, is being conducted or who has failed to produce for inspection or audit any book, record, document or other item required by any regulations promulgated pursuant to Section 3.13.
- I. Has failed to pay any tribal taxes and additions to taxes, including penalties and interest;
- J. Has been found guilty of any violation or attempt or conspiracy to violate any law, rule or regulation pertaining to gaming in any jurisdiction for which suspension or termination of employment or license might be imposed in such jurisdiction; or
- K. Has been suspended from operation or any gaming in another jurisdiction or who has had a license to conduct such gaming cancelled, revoked, suspended, or limited for any reason.
- L. Has knowingly withheld pertinent information pertaining to any criminal charges filed against said person. No matter the outcome of the charges.

I, _____ **DO MEET THE LICENSING STANDARDS OF SECTION 3.13 OF THE OSAGE NATION OF OKLAHOMA FOR TEMPORARY LICENSING. I FURTHER UNDERSTAND THAT ANY VIOLATION OF SUCH LICENSING STANDARDS DURING THE TERM OF MY EMPLOYMENT OR PENDING THE OUTCOME OF THE OSBI & FBI BACKGROUND RESULTS COULD BE CAUSE FOR DISMISSAL, AND OR LICENSE DENIAL.**

SIGNED _____ DATE _____

**OSAGE NATION GAMING COMMISSION
AUTHORIZATION FOR RELEASE OF INFORMATION**

PRESENTED TO: _____
(Please print or type your name here)

I hereby authorize release to both the Osage Nation Gaming Commission (ONGC) and the National Indian Gaming Commission (NIGC) any information requested in order for the ONGC and the NIGC to determine my suitability for involvement in Indian gaming.

This document authorizes release of requested information whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or common law privilege.

I agree to accept any risk of adverse public notice, embarrassment, criticism or financial loss that may result from use of information that is obtained in connection with a background investigation for the purpose listed in the first paragraph of this document.

I authorize release of any information related to my activities including: schools, property interests (real and personal), employment, criminal justice agencies, regulatory agencies, business, financial institutions, lending institutions, medical institutions, hospitals, and health care professionals.

I authorize review and copying of all documents.

I relinquish any right that I may otherwise have to pursue a cause of action against any person (or his or her agent) to whom this request is presented when such cause of action arises out of a response to a request for information pursuant to the Indian Gaming Regulatory Act of 1988 (25 U.S.C. § 2701 et seq.). I further agree to indemnify and hold harmless any persons to whom this request is lawfully presented. Such indemnification and holding harmless includes all claims, damages, losses and expenses, including reasonable attorney's fees.

A reproduction of this authorization is the same as the original.

Executed at (city) _____, (State) _____.

On this ____ day of _____, 20____

Signature: _____

Subscribed and sworn to before me on this ____ day of _____, 20____

Notary Public

Commission #: _____
Commission Expiration Date: _____
[Seal]